

# All India difficult airway association (AIDAA) consensus guidelines for airway management in the operating room during the COVID-19 pandemic

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## ABSTRACT

Severe acute respiratory syndrome corona virus 2 (SARS-CoV-2) which causes coronavirus disease (COVID-19) is a highly contagious virus. The closed environment of the operation room (OR) with aerosol generating airway management procedures increases the risk of transmission of infection among the anaesthesiologists and other OR personnel. Wearing complete, fluid impermeable personal protective equipment (PPE) for airway related procedures is recommended. Team preparation, clear methods of communication and appropriate donning and doffing of PPEs are essential to prevent spread of the infection. Optimal pre oxygenation, rapid sequence induction and video laryngoscope aided tracheal intubation (TI) are recommended. Supraglottic airways (SGA) and surgical cricothyroidotomy should be preferred for airway rescue. High flow nasal oxygen, face mask ventilation, nebulisation, small bore cannula cricothyroidotomy with jet ventilation should be avoided. Tracheal extubation should be conducted with the same levels of precaution as TI. The All India Difficult Airway Association (AIDAA) aims to provide consensus guidelines for safe airway management in the OR, while attempting to prevent transmission of infection to the OR personnel during the COVID-19 pandemic.

**Key words:** Airway, Coronavirus, COVID-19, operation room, pandemic, personal protective equipment, SARS-CoV-2

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## INTRODUCTION

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) which causes coronavirus disease (COVID-19) is a ribonucleic acid encapsulated virus.<sup>[1]</sup> It is a highly contagious virus which spreads by droplets and direct contact through fomites from infected individuals.<sup>[1]</sup> These patients may present to the operation room (OR) for an emergency surgery, tracheostomy or for cancer surgeries which are considered as a semi-emergency. When elective surgeries are resumed, asymptomatic patients or carriers of COVID-19 may also be scheduled for

surgery. False negative reports have been seen in 30% of asymptomatic patients with the reverse transcription polymerase chain reaction (rT-PCR) assay;<sup>[2]</sup> thus

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mandating universal precautions to be taken for all cases presenting to the OR.

Airway management in the OR during the COVID-19 pandemic poses unique challenges. Airway interventions can cause a high amount of aerosolisation, making all the team members at a high risk of acquiring COVID-19 infection during the procedure.<sup>[3,4]</sup> During airway management, in addition to ensuring patient safety, additional measures to prevent aerosol generation and reduce viral spread are required to ensure safety of the airway manager and the other OR personnel.<sup>[4]</sup> Use of modified techniques, unfamiliar equipment like a customised intubation and extubation box (COVID box) or other barrier devices, make airway management more challenging. In addition the fear of contamination and infection may lead to cognitive overload which may affect the performance of the airway manager.

Vigilant precautionary measures are warranted during airway management in the OR to prevent the spread of infection among OR personnel. There is no robust evidence for a definite technique or strategy for airway management in OR during the COVID-19 pandemic. The All India Difficult Airway Association (AIDAA) aims to provide consensus guidelines for safe airway management in the OR during the COVID-19 pandemic. These consensus guidelines are based on the evidence from the recent literature and consensus opinion of the experts. These consensus guidelines should be used in conjunction with the recommendations for the general management of COVID patients in the OR.<sup>[5,6]</sup>

## METHODS

A team of 10 airway experts was formed from the AIDAA members who were involved in perioperative airway management of COVID-19 patients. These experts reviewed all the existing literature related to airway management in general and in COVID-19 patients in English from 1<sup>st</sup> January 2015 until 30<sup>th</sup> April 2020 from search engines including PubMed, Embase, Medline and Ovid and Google Scholar Databases. The keywords included: Airway, coronavirus, COVID-19, extubation, operation room, pandemic, personal protective equipment, SARS-CoV-2, tracheal intubation. Additional articles were retrieved by cross-referencing and hand searching. The experts discussed the existing literature using web based meetings and email communication to develop an

algorithm and formulate consensus guidelines for airway management in the OR during the COVID-19 pandemic. Since definite evidence was lacking in most areas, recommendations were made by consensus and modifications of the existing AIDAA algorithm from the guidelines for the unanticipated difficult airway in adults.<sup>[7]</sup>

## PLANNING AND PREPARATION

A basic understanding of the COVID-19 infection is paramount for appropriate planning and preparation for airway management in the OR. A thorough understanding of the mode of transmission, the procedures and factors that increase the risk of transmission and methods to break these transmission modalities is required. The key factors for various phases of airway management in the OR have been summarized in Figure 1.

### Understanding the Aerosol generating procedures (AGP)

AGPs include any medical and patient care procedure that results in the production of airborne particles (aerosols).<sup>[8]</sup> The virus spread occurs either because of droplets travelling up to 1-2 meters or from the contact of contaminated surface. Maximum viral load is present in respiratory tract and procedures involving respiratory tract cause major aerosolization of SARS-CoV-2.<sup>[9]</sup> Coronavirus remains active in the air for 2-3 hours and it also remains active on different surfaces for varying periods, even up to three days. The virus has been reported to be active for 48-72 hours on plastic and metal surfaces.<sup>[1]</sup> Airway management procedures are considered as the highest risk procedures for transmission of the COVID-19 disease. Mask ventilation, tracheal intubation (TI), tracheal extubation, open suctioning, tracheostomy, cricothyroidotomy, non-invasive ventilation (NIV), high flow nasal oxygen (HFNO) and bronchoscopy are all AGPs.<sup>[8]</sup> In addition to OR personnel protection during airway handling, cleaning and disinfection of all surfaces are required to decrease the viral load in the OR.<sup>[10]</sup>

### Teaching and training

Teaching and training in the proper performance of hand sanitisation, donning and doffing of personal protective equipment (PPE) are the most important measures for preventing cross contamination from COVID-19 patients.<sup>[11,12]</sup> Simulation based training in OR with full PPE and use of patient

<b>AIDAA consensus guidelines for airway management in the operating room during the COVID -19 Pandemic</b>	
<b>Aim</b>	Safe airway management Prevention of transmission of infection to the OR personnel Proper disposal of single use items and disinfection of reusable items
<b>Concern</b>	High possibility of viral spread during airway management
<b>Planning</b>	<b>Preparation</b>
<p><b>Teaching and training</b> COVID-19 virus transmission and AGPs Hand hygiene Donning and doffing of PPE Specific measures during airway management to prevent transmission of infection Mock drills of airway management in OR (wearing full PPE and use of patient barrier devices)</p> <p><b>Operating Room Environment</b> A negative pressure OR is preferred or increased air changes if feasible</p> <p><b>Additional resource availability</b> Availability of PPEs Transparent plastic sheets or a customised intubation box Standby airway cart just outside the OR Two heat and moisture exchanging filters (HMEFs) Videolaryngoscope Closed suction system Surgical cricothyroidotomy equipment Disinfectant solutions as per the institutional policy</p>	<p><b>Team Preparation</b> Preferably two persons (one experienced) Team briefing: concerns, roles, communication, airway and rescue strategy Supervised donning of PPE</p> <p><b>Patient Preparation</b> Use a surgical mask and cover patient with a transparent plastic sheet Transfer patient directly to the OR bypassing the holding area Patient examination performed using full PPE.</p> <p><b>OR preparation (in addition to routine checks)</b> A HMEF is attached between breathing circuit and the mask and another one is attached between the expiratory limb of the breathing circuit and anaesthesia machine The side stream capnography tubing is attached to the machine end of the HMEF Videolaryngoscope, closed suction system and surgical cricothyroidotomy equipment Standby airway cart is ready outside OR Transparent plastic drapes or customised intubation box Container with disinfectant solution OR door kept closed</p>
<b>Procedure</b>	
<p><b>Preoxygenation and Mask Ventilation</b> Patient should be covered with a transparent plastic sheet or a customised intubation box Minimise the time between surgical mask removal and face mask application Preoxygenation with a mask having a good fit using a two-hand two-person technique with a closed circuit Use continuous waveform capnography to monitor for leaks</p>	<p><b>Induction of Anaesthesia and Tracheal Intubation</b> Rapid sequence induction Use rocuronium or suxamethonium Low flow (&lt; 5 litres/min) nasal oxygenation during apnoea TI performed by the most experienced airway operator Initiate mechanical ventilation only after inflation of the ETT cuff Confirm TI using waveform capnography Use a closed suction system.</p>
<p><b>Unanticipated difficult airway management</b> Use the modified AIDAA algorithm for airway management during the Covid-19 Pandemic (Figure 4) Awakening the patients is preferred after established ventilation with SAD. Proceed with surgery using the SAD only if considered safe, keeping in mind the risk of aerosolisation. Surgical cricothyroidotomy if there is complete ventilation failure</p>	<p><b>Extubation</b> Higher aerosol generating procedure than TI Same level of protection and precautions as during TI Measures to prevent agitation, coughing and emesis before tracheal extubation Defer tracheal extubation if there are concerns of a failed extubation</p>
<p><b>Awake tracheal intubation:</b> ATI should be avoided, unless the patient has an anticipated difficult airway and performing TI under general anaesthesia is considered unsafe. Minimise intubation time, aerosol generation and transmission during ATI</p>	
<b>Post Procedure Care</b>	Proper disposal of single use items and disinfection of reusable items Supervised doffing with proper disposal of PPE Team debriefing
<b>Not Recommended</b>	Mask ventilation HFNO for preoxygenation or apneic oxygenation or post extubation NIV for preoxygenation or post extubation Disconnection of the breathing circuit. Open tracheal suction Airway manipulation and airway exchange procedures during extubation Tracheostomy or TI through the SAD following successful rescue ventilation Cannula or needle cricothyroidotomy with jet ventilation Nebulisation or gargles before ATI
<p style="font-size: small;">OR = Operating room AGP = Aerosol generating procedure PPE = Personal protection equipment TI = Tracheal intubation ETT = Endotracheal tube SAD = Supraglottic airway device ATI = Awake tracheal intubation HFNO = High flow nasal oxygen NIV = Non invasive ventilation HMEF= Heat and moisture exchanging filter</p>	

**Figure 1:** AIDAA consensus guidelines for airway management in operating room during the COVID-19 pandemic

barrier devices is essential to prepare for airway management using unfamiliar and modified airway management techniques and plays a major role in preventing infection. Communication after donning the PPE, especially in the use of critical language, is challenging.<sup>[13]</sup> Training in use of sign language is useful to overcome this. Training in proper disposal of contaminated material and disinfection of reusable equipment is necessary to avoid inadvertent spread of the infection.<sup>[14]</sup>

**Additional resource availability**

Ensuring availability of essential additional resources is paramount before performing airway management in these patients. We may often need to modify our methods and use innovative alternate strategies to adapt in the event of a resource crunch to create optimum levels of protections against the infection [Table 1].

**Operating room environment**

A negative pressure OR is preferred to avoid the spread of corona virus infection. The ORs are

**Table 1: Additional resources required for airway management**

Mandatory requirements	Desirable requirements
Positive pressure avoided in the OR with the door kept closed	Negative pressure OR Powered Air Purifying Respirators (PAPR)
Experienced airway manager	Customized intubation/extubation box (COVID Box) [Figures 2 and 3]
Personal Protective Equipment	
Heat and moisture exchanging filters (HMEF) (2 in number)	
Videolaryngoscope	
Closed suction system	
Surgical cricothyroidotomy equipment	
Transparent plastic sheets	
Standby airway cart just outside the OR	
Container with disinfection solution	

routinely positive pressure areas (5-10 cm H<sub>2</sub>O) which are not preferred.<sup>[5]</sup> Changes in an existing positive to a negative pressure system should be done in advance if feasible, as per the specification of the system after consultation with the engineers. Alternatively, increase in the air changes in the ORs may be considered. Switching off the centralised air conditioners during AGPs may be considered. Ensure scavenging of anaesthetic and expired gases from the anaesthesia machine to an appropriate exhaust. The OR door must be kept closed at all times.

#### Experienced airway operator

To increase the chance of first pass success during airway management, it is essential that the most experienced airway operator among the team members performs airway management. Individuals with co-morbidities, immunocompromised status, pregnancy, and advanced age should not be part of OR Team.

#### Personal protection equipment (PPE)

The availability of PPEs for all OR personnel should be ensured. If available, face shield respirators or Powered Air Purifying Respirators (PAPR) may be used for additional protection.<sup>[13]</sup> The PPE should include a fluid impervious coverall / gown, long shoe covers, a cap, goggles, a fit tested N95 mask, double layer of gloves, and a head hood or full face shield.<sup>[4,14-16]</sup> It is preferable to have two layers of protective clothing. Fogging of the goggles or the face shield is a concern and may be reduced by minimising the time gap between donning and performing TI, or use of anti-fog solutions.

#### Additional equipment

The following additional equipment needs to be arranged for airway management of COVID-19 patients:<sup>[10,16-18]</sup>

- i. Airway Equipment
 

Two heat and moisture exchanging filters (HMEFs) are necessary to prevent contamination of the breathing circuit and the anaesthesia machine. A HMEF effectively filters viruses and bacteria

TI using a videolaryngoscope (VL) is preferred for higher first attempt success rate. In addition, TI can be accomplished by looking at the VL monitor, thus avoiding close proximity to the oral cavity for direct glottis visualisation. A closed suction system is recommended for tracheal suctioning. In the event of *complete ventilation failure*, surgical cricothyroidotomy using the “scalpel-bougie technique” [scalpel with 11 number blade, bougie and size 6 endotracheal tube (ETT)] should be preferred<sup>[6,8]</sup>
- ii. Standby Airway Cart
 

A standby airway cart needs to be kept ready just outside the OR for easy access to additional equipment, if required. This is to avoid contamination of additional airway equipment, such as alternate size ETTs, masks, oral/nasal airways, rescue airway equipment etc.
- iii. Barrier Devices
 

A method to cover a patient’s face during airway management may help limit aerosol exposure and is encouraged in addition to PPE. A transparent plastic sheet, COVID box, plastic tent etc. may be used [Figures 2 and 3].<sup>[18]</sup> However, there is no evidence to recommend their efficacy in reducing aerosol spread. In addition, they may make the TI more difficult due to unfamiliarity with the device, restriction of hand movements and possible difficulty in visualisation. Hence, prior practice in airway management using these devices is essential. Monitoring devices including the monitor of the VL (if not attached to the device) may be covered using transparent plastic sheets to prevent contamination of the equipment.

#### Disinfection

Reusable items will need to be immersed immediately after its use into a disinfectant solution e.g., 1% sodium hypochlorite (or as per institutional policy) placed inside a container in the OR.<sup>[19]</sup> Disinfection of the OR and reusable items should be done as per institutional policy.



**Figure 2:** Tracheal intubation using a customised intubation tent

## AIRWAY MANAGEMENT DURING GENERAL ANAESTHESIA

### Patient preparation

All spontaneously breathing patients should wear a surgical face mask. They should be directly transferred to the OR bypassing the preoperative holding area.<sup>[20]</sup> Recent studies have shown that povidone iodine (0.23%-1%) gargles and nasal drops administered prior to transfer to the OR significantly reduces viral load in the oro and nasopharynx.<sup>[21-23]</sup> Patient examination, including airway evaluation, should be performed using full PPE.

### Team preparation

- Minimise the airway management team (preferably two persons). All team members should be briefed about specific patient concerns, their specific role, airway management strategy, and rescue strategy
- The method of communication after donning PPE should be discussed.

### Operating room preparation

In addition to the routine anaesthesia equipment checking, ensure that the following are available:<sup>[10,16,17]</sup>

- An HMEF is attached between breathing circuit and the mask and another one is attached between the expiratory limb of the breathing circuit and anaesthesia machine. The side stream capnography tubing is attached to the machine end of the HMEF
- VL, closed suction system and surgical cricothyroidotomy equipment
- Standby airway cart is ready outside OR
- Transparent plastic drapes or COVID box
- Container with disinfectant solution.



**Figure 3:** Patient's face covered with a transparent plastic sheet during preoxygenation

### Steps of tracheal intubation

#### Preoxygenation

- The patients should be wearing a surgical mask and covered with a transparent plastic sheet or a COVID box on the OR table [Figure 3] Position the patient appropriately. Minimise the time between removal of the patient's mask and application of the face mask.
- Check that the HMEF and the side stream capnography tubing are appropriately placed before preoxygenation
- Preoxygenation with 100% oxygen for 3-5 minutes using a tight-fitting face mask and a two hand technique with tidal volume breathing using a closed circuit is recommended. HFNO and NIV should be avoided for preoxygenation as they have the potential to generate aerosols.<sup>[24]</sup>
- Continuous waveform capnography should be used. A triangular rather than a square end tidal carbon dioxide (EtCO<sub>2</sub>) trace or a low numerical EtCO<sub>2</sub> value during preoxygenation may indicate a leak around the face mask and should prompt interventions to improve the seal.<sup>[25]</sup> The side stream capnograph should be connected towards the machine end of the HMEF.

#### Induction of anaesthesia

- Based on the Wuhan experience and recently published recommendations, rapid sequence induction (RSI) is the preferred method to avoid mask ventilation and facilitate faster TI.<sup>[3,16,26]</sup>
- Appropriate doses of rapidly acting neuromuscular blocking drugs like rocuronium or suxamethonium should be used to achieve complete muscle relaxation. Cricoid pressure should be avoided

if the patient is adequately fasted. Use of cricoid pressure encourages the assistant to lean closer to the patient's airway, thereby increasing the chance of exposure to aerosols.<sup>[25]</sup>

#### Apnoeicoxygenation

- Avoid mask ventilation to prevent aerosol generation, unless the oxygen saturation (SpO<sub>2</sub>) goes below 95%. If mask ventilation is required it should be performed under a transparent plastic sheet. Mask ventilation if required should be done with a tight mask fit using a two-hand technique to prevent leaks around the face mask.<sup>[27]</sup>
- Apnoeic oxygenation with HFNO should be avoided. Low flow nasal oxygenation less than 5 litres/min is recommended during apnoea. This is less aerosol generating and improves the non-hypoxic apnoea time during TI.<sup>[28]</sup>

#### Tracheal intubation

- VL assisted TI is recommended. It is preferable to preload the ETT with a stylet or use a bougie as appropriate.
- After TI, the ETT cuff should be inflated and the HMEF connected directly to the ETT. Ensure that there is no leak around the ETT cuff.
- Mechanical ventilation should only be initiated after the ETT cuff is inflated.
- A closed suction system should be used.
- Confirm ETT placement in the trachea using waveform capnography, bilateral chest expansion or ultrasound, if available. Use of a stethoscope is not feasible while wearing PPE.

#### Disposal and decontamination of contaminated equipment

Single use items should be immediately discarded in the appropriate disposal bag and reusable items dropped in to the container with disinfectant solution without touching them elsewhere, to prevent contamination of the OR and health care workers.

### UNANTICIPATED DIFFICULT AIRWAY MANAGEMENT

In case an unanticipated difficult airway is encountered during the first attempt at TI, the AIDAA<sup>[7]</sup> guideline for unanticipated difficult airway in adults with modification [Figure 4] may be followed to prevent cross infection with the virus. When faced with an unanticipated difficult airway, avoid using HFNO and

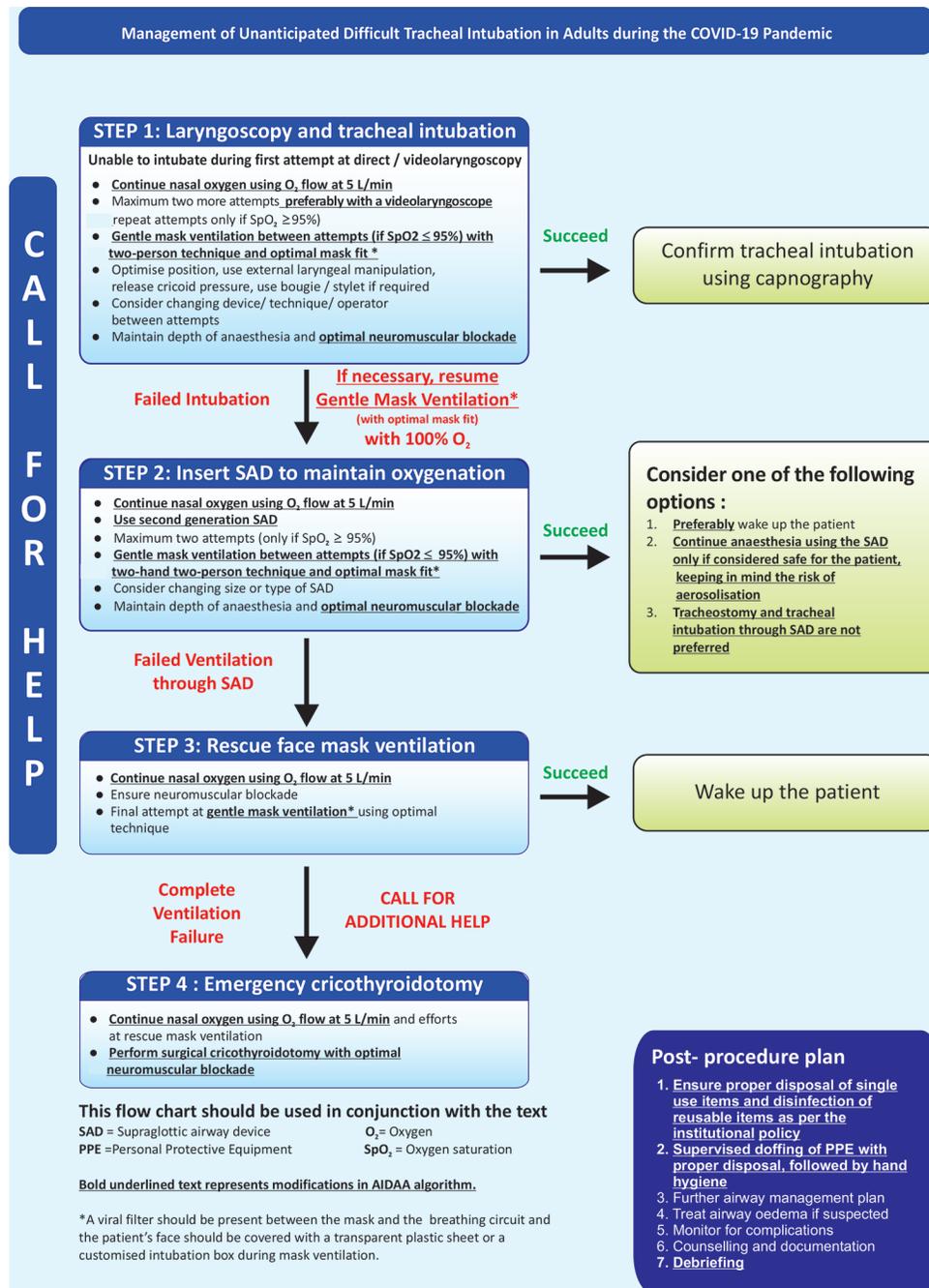
face mask ventilation with a leak, as they may all lead to aerosol generation. A two-person two-hand technique should be preferred for mask ventilation. Two further attempts at TI are recommended only if the SpO<sub>2</sub> is  $\geq 95\%$  with intermittent mask ventilation performed only if the SpO<sub>2</sub> is  $< 95\%$ . Further attempts at TI should be done using a VL. Use of a second generation SGAs recommended in case of failed TI, however the plastic sheet or the COVID box over the patient's face, should not be removed to prevent aerosol spread. Awakening the patients should be preferred after established ventilation with a SGA. Proceed with the surgery with a well-placed SGA device *in situ*, only if it is considered safe, keeping in mind the risk of aerosolisation. Avoid TI through the SGA and performing a tracheostomy for elective surgeries as they are high AGPs. In the event of a complete ventilation failure, it is recommended to proceed with a surgical cricothyroidotomy. Avoid using jet ventilation with a needle cricothyrotomy as this may increase the chances of aerosol spread.

### TROUBLESHOOTING DURING GENERAL ANAESTHESIA

- Avoid unnecessary disconnection of the ETT and the breathing circuit during mechanical ventilation to avoid aerosol spread.
- If a circuit disconnection is required, put the anaesthesia machine on a standby mode. Keep the transparent plastic sheet or the COVID box over the patient's face to prevent spread of the virus. Always keep the HMEF connected to the ETT during disconnection. However, if a HMEF change or tracheal aspirate needs to be collected, clamping the ETT transiently may be considered. If a self-inflating bag needs to be used for manual ventilation, attach it to the machine end of the HMEF.
- Tracheal suction should be done using a closed suction system at all times.

### TRACHEAL EXTUBATION

- Tracheal extubation is considered as a higher aerosol generating procedure than TI.<sup>[28,29]</sup>
- Tracheal extubation should preferably not be performed in a light plane of anaesthesia. Pharmacological measures to prevent agitation and coughing are recommended before tracheal extubation.<sup>[29,30]</sup>
- Tracheal suction should be performed only if required, using the closed suction system.



**Figure 4:** Management of unanticipated difficult tracheal intubation in adults during the COVID-19 pandemic -Algorithm

- Prophylactic antiemetics should be administered towards the end of the procedure to reduce risk of vomiting and consequent viral spread.
- Tracheal extubation should be performed under a transparent plastic sheet or COVID box. A face mask may be threaded over the ETT prior to tracheal extubation, to further prevent spread of aerosols.
- Nebulisation with saline or bronchodilators should be avoided. Bronchodilators may be given using metered-dose inhalers and spacers.
- Airway manipulation and airway exchange procedures should be avoided during extubation.
- Tracheal extubation is an elective procedure, hence it may be deferred if there are any concerns of a failed extubation.

### AWAKE TRACHEAL INTUBATION

Awake tracheal intubation (ATI) should be avoided as far as possible, unless the patient has an anticipated

difficult airway and performing TI under general anaesthesia is considered unsafe. Managing an anticipated difficult airway is a challenging task for the anaesthesiologist, as ATI is a highly aerosol generating procedure.<sup>[31]</sup> Proper planning, preparation and execution of the procedure is required to ensure patient and operator safety. Modification of techniques to reduce aerosol generation and spread are required. The goal should be to minimize TI time, reduce aerosol generation and prevent aerosol transmission.

Prolonged duration of ATI increases exposure time to the aerosols. If feasible, awake VL aided TI should be preferred over TI using a flexible bronchoscope as it is associated with shorter TI time.<sup>[31]</sup> Meticulous planning, optimal preparation, pre procedural briefing and proper coordination among team members, reduces procedural time. Good counselling and optimal level of sedation increases the success rate and decreases the procedural time.

Anaesthetising the airway using local anaesthetic techniques are challenging. There is a potential risk of aerosol generation with all techniques. Thus, these should be performed only after weighing the benefits and the risks along with the use of PPE. Nebulisation is best avoided as it is considered as an AGP.

Use of a disposable flexible bronchoscope, disposable VL or a VL with disposable blades are recommended for performing ATI. Another advantage of an awake VL aided TI over using a flexible bronchoscope, is the feasibility of performing TI using the COVID box or tent. If a flexible bronchoscope is used, the patient's face should be covered with a transparent plastic sheet. For preventing viral spread, similar peri- procedural care should be undertaken as during TI under general anaesthesia.

## POST-PROCEDURE CARE

The patient should be wearing a surgical face mask and be covered with a transparent plastic sheet, before transfer to the designated area after surgery. Ensure that proper disposal of single use items and disinfection of reusable equipment has been done as per the institutional policy.

Supervised doffing with proper disposal of PPE should be performed followed by adequate hand hygiene. The OR monitors, anaesthesia machine, other equipment,

and all OR surfaces should be disinfected according to the institutional policy after each surgery. At the end of the procedure, all OR personnel should be debriefed about the procedure, disposal, and disinfection of contaminated equipment and proper personal protection.

## FUTURE RESEARCH

Further research is required to identify the best tools and strategies to prevent aerosolisation, contamination and spread of the virus in the OR. Appropriate use of barrier devices and their utility in preventing contamination and spread, the best PPE for the health care worker and optimal decontamination techniques, need to be investigated. In addition, the psychological impact on the health care worker during airway management in such challenging circumstances, including measures to mitigate them, needs to be evaluated.

## Disclaimer

These consensus guidelines are applicable for airway management of adult patients suspected or infected with coronavirus in the operation room (OR) and should be used in conjunction with recommendations for the general management of these patients in the OR. These recommendations should be adapted to the local policies prevalent at the workplace. With the emergence of new evidence, these guidelines may require modification.

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## Conflicts of interest

There are no conflicts of interest.

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